



ARCHER

Grow Faster with Archer

“Whereas other vendors have transitioned their solution portfolios over to a cloud-hosted model in recent years, Archer has had the cloud at the core of its solutions and services offering since its foundation in 2000. The vendor’s approach to building out its technology architecture has centered on a single, cloud-based service to provide investment managers with a fully integrated platform that aims to simplify processes across investment channels while increasing scalability.”

Brazier, John. “Waters Rankings 2017: Best Cloud-Based Services Provider—Archer.” Waters Technology, August 1, 2017

Accelerate your workflow. Reduce operational risk. Race products to market.

Archer’s investment management platform and complete outsourcing solutions speed up and simplify operations at every stage, freeing your team to get ahead, and say ahead. We achieve this through products and services developed by leaders who have direct experience in the investment management industry, and with direct input from managers themselves. This combination leads to results measured by each investment manager’s unique needs and achieving their strategic vision.

Archer’s single investment book of records and cloud-optimized technology improve data visibility, helping to reduce risk while improving client relationships. With solutions for institutional, private wealth, retail, and multi-channel firms, Archer helps managers focus on their investment portfolios, client relationships, and scaling their business, while gaining firm wide visibility in support of evolving compliance needs.

Our integrated platform and complete ecosystem enable investment managers to increase assets through new products and new distribution channels. And our full suite of outsourcing services supports growing managers’ operations, providing a quicker time to market for new products and channel expansion.

500™
Technology **Fast 500**
2018 NORTH AMERICA
Deloitte.

Buy-Side Technology
Awards Winner 2020
Best outsourcing provider
to the buy side
Archer

Inc.
500

EY Entrepreneur
Of The Year®
2017 Award Winner

“Outsourcing some operational functions or technology to a vendor like Archer is a way for firms to enter the business without some of the headaches that can come with handling a higher number of accounts with lower minimums.”

Verbrigghe, Danielle. “T. Rowe Jumps Into Retail SMA.” FundFire, May 17, 2017

INVESTMENT ACCOUNTING:

Posts all trades, corporate actions, cash and other transactions via the multi-currency accounting application
Intraday positions and portfolio valuations

Investment book of record that identifies, describes and defines all investments and transaction in each account

Maintains records of client account data and third party participant data including: client profiles, account types and custodian account numbers

SECURITIES VALUATION:

Maintains security master records
Intraday pricing
Updated FX throughout the trading day
Maintains paydown factors for mortgage-backed securities

Prices adjusted to reflect domestic and international corporate actions

CORPORATE ACTIONS:

Domestic and international corporate action announcements
Processes mandatory corporate actions such as cash dividends, stock dividends, splits, reverse splits, spin-offs, returns of capital, shares awarded, mergers, exchanges and cash-in-lieu

Ensures that each mandatory action is applied to accounts

Voluntary corporate action monitoring with available operational support

TRADE LIFECYCLE MANAGEMENT:

Enables order entry, allocations, settlement instructions, affirmations, and confirmations

Generate block trades for manager-directed portfolio changes
Create and execute trades accommodating client-initiated activity
Distribute model portfolios to UMA sponsors

Manage trade, broker and model delivery rotation schedules
Facilitates institutional orders, third party OMS, market-not-held orders, and step-out trades

ESG OVERLAY SOLUTION:

ESG profiles can be built to emphasize positions that are highly rated in specific themes, reduce exposure to poorly rated holdings, or eliminate exposure to specific industries altogether

Scalable solution -- apply ESG profiles across thousands of accounts; automatically updated with changes from underlying models

PERFORMANCE MEASUREMENT:

Supports Global Investment Performance Standards (GIPS®) standards set by the CFA Institute

Composite performance is fully supported through automated composite membership with member tracking.

WWIntegrated document library records client requests, automating composite membership changes, and streamlining verifications

ATTRIBUTION & RISK REPORTING:

Multi-class attribution analysis provided through partnership with Wilshire Analytics, delivering deliver best-of-breed portfolio attribution

BILLING & REPORTING:

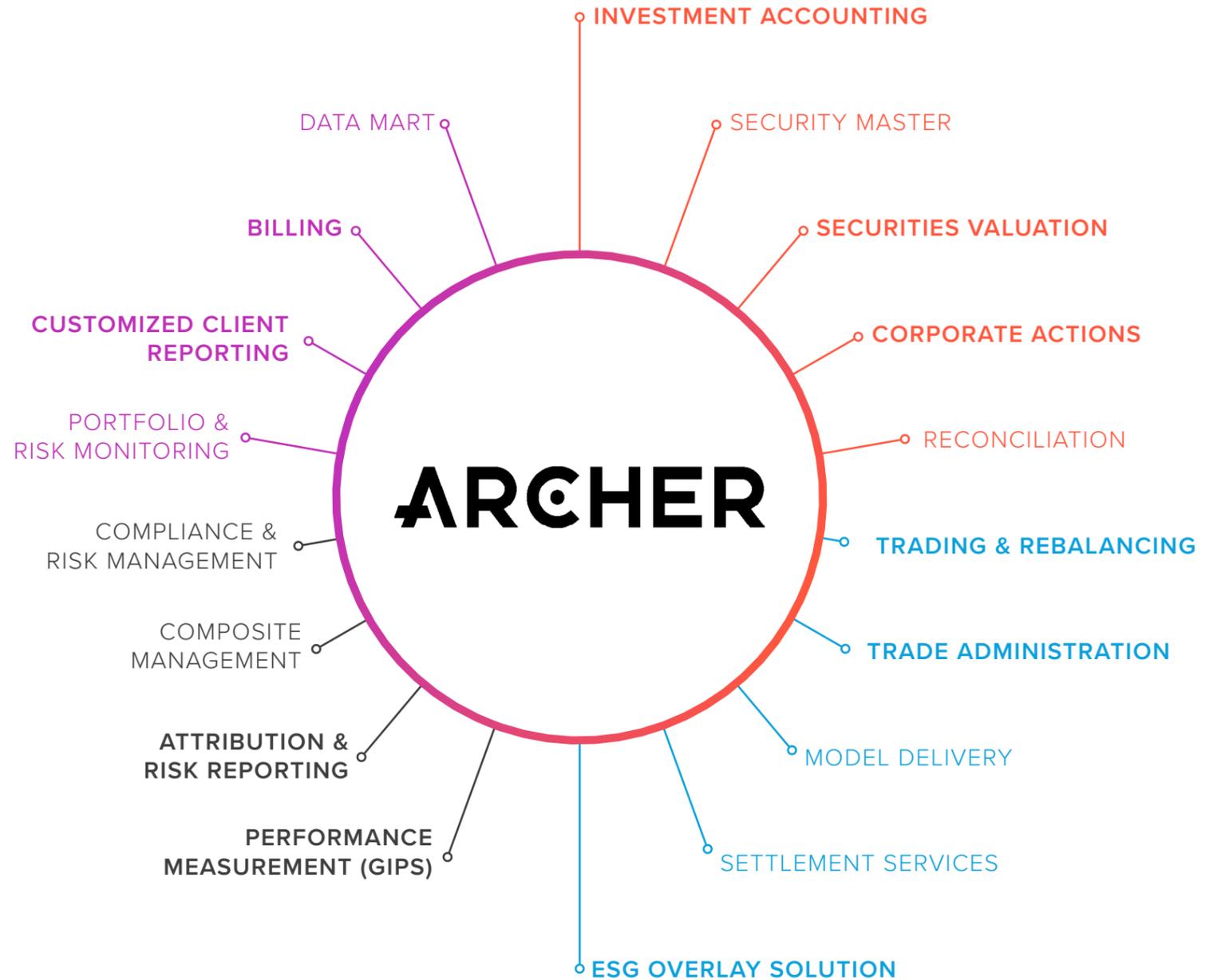
Maintain billing profiles to investment manager specifications for accounts and account groups -- allows different profiles for asset class or type, down to individual security level, including exclusions from billing

Option to calculate individual account bills using household market values

Robust reporting facilitates firm wide oversight, compliance, and performance monitoring, including: Cash; Positions;

Commissions; Security Cross Reference; Restriction Exceptions; Top Holdings; Performance; Gain/Loss; and Portfolio Turnover

Custom client reporting that matches firm branding, increases flexibility



“...the Archer system provides us the assurance that we have an up-to-date resource, and the scalability to facilitate new client onboarding and management. The transformation from those Wild West-era home-grown systems to one of standard conventions has been remarkable.”

Bayazitoglu, Kristen. “Case Study: Achieving a Growth Standard in a Non-Standard Industry.” Family Wealth Report, August 1, 2017

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Streamline Operations

A single solution for the entire account lifecycle.

Successful outsourcing for investment management firms goes beyond reconciliation and account processing by offering services that help you enter new distribution channels and deepen your product bench. With front offices facing increasing pricing pressure, competition, and an evolving regulatory space, outsourcing middle and back office services provides the ability to focus on achieving strategic goals rather than scaling operations.

Archer's flexible outsourcing solutions include unique services such as trading and trade settlement, model delivery supporting UMAs, and support for ETF management. Transparency is provided at every level of outsourcing, providing the control and peace of mind you need to innovate every day.

Trade Services

Archer's trade services deliver more options designed to help you concentrate on your core business of investment management. Services include maintenance trading, across-the-board portfolio trades as well as trade execution and settlement support.

Maintenance trading using model portfolios can be completed when new accounts are opened, to accommodate cash flows, or for tax-harvest requests. Trades can also be completed across-the-board following model changes across groups of accounts. Services can include custom rotations of trade request deliveries. Throughout the process, managers are able to fully monitor account drift and compliance through Archer's robust, real-time reporting.

Model Delivery Services

Outsourcing model delivery to Archer can eliminate much of the additional support required by managers delivering models to multiple platforms.

Archer provides a dynamic model maintenance interface allowing managers to choose a full model refresh, or individual security changes. Same-day model delivery output is customized to meet each platform's requirements, including e-mail and web-portals. Archer can also deliver scheduled periodic model updates to sponsors.

“...Archer also provides a complete portfolio of accompanying middle- and back-office services alongside the platform, setting it apart from its competitors in the space through a customized set of outsourcing options covering reconciliations, corporate actions processing, trade settlement and billing.”

Brazier, John. "Waters Rankings 2017: Best Cloud-Based Services Provider—Archer." Waters Technology, August 1, 2017

ETF Support Services

For managers with an established ETF or those who may be looking to create one, Archer now provides services that help reduce the operational burden of managing an ETF. Archer Operations, working in concert with your fund accountant and with a consolidator of authorized participants' activity, supports the ETFs' unit creation /redemption process. Global data exchanges, multiple accounting streams, real-time positions, and dispersion reporting are some of the features that enable the effective deployment of your investment strategy as an ETF.